

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Shunpei YAMAZAKI et al.
Application No. 10/603,019
Filed: June 26, 2004
For: METHOD OF MANUFACTURING A
SEMICONDUCTOR DEVICE HAVING
THIN FILM TRANSISTOR AND
CAPACITOR (AS AMENDED)



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Examiner: LE, Dung-Anh
Group Art Unit: 2818
)
Confirmation No. 3291
)
Date: August 27, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

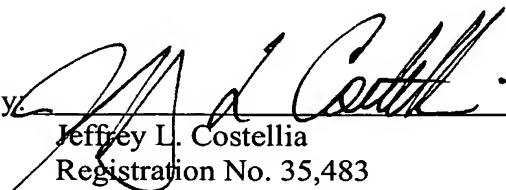
The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By:


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JLC/LCD

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/603,019
Sheet		of		Filing Date	June 26, 2003
				First Named Inventor	Shunpei YAMAZAKI et al.
				Art Unit	2818
				Examiner Name	LE, Dung-Anh
				Attorney Docket Number	740756-2626

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (<i>If known</i>)				
		US-4,984,033		01-08-1991	ISHIZU et al.	
		US-5,341,012		08-23-1994	MISAWA et al.	
		US-5,818,552		10-06-1998	SATO	
		US-5,998,838		12-07-1999	TANABE et al.	
		US-6,066,860		05-23-2000	KATAYAMA et al.	
		US-6,278,131 B1		08-21-2001	YAMAZAKI et al.	
		US-2001/0029070 A1		10-11-2001	YAMAZAKI et al.	
		US-6,316,787 B1		11-13-2001	OHTANI	
		US-6,330,044 B1		12-11-2001	MURADE	
		US-6,590,229 B1		07-08-2003	YAMAZAKI et al.	
		US-6,639,244 B1		10-28-2003	YAMAZAKI et al.	
		US-2004/0056296 A1		03-25-2004	ARAO et al.	
		US-2004/0065882 A1		04-08-2004	YAMAZAKI et al.	
	US-					
	US-					
	US-					

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴	Kind Code ³ (<i>If known</i>)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.